



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Scott *et al.*

Appl. No.: 10/020,498

Filed: December 18, 2001

For: **Palm Scanner Using A  
Programmable Nutating Mirror  
For Increased Resolution**

Confirmation No.: 8710

Art Unit: 2625

Examiner: Couso, Yon Jung

Atty. Docket: 1823.0340001

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**Supplemental Information Disclosure Statement**

AUG 27 2004

Technology Center 2600

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98.

Applicants have listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application

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does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

Applicants have checked the appropriate boxes below.

- 1. Statement under 37 C.F.R. 1.704(d). Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this information disclosure statement.
- 2. Filing under 37 C.F.R. § 1.97(b). This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits. No statement or fee is required.
- 3. Filing under 37 C.F.R. § 1.97(c). This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection, or Notice of Allowance, or an action that otherwise closes prosecution in the application.
  - a. Statement under 37 C.F.R. § 1.97(e)(1). I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).

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b. Statement under 37 C.F.R. § 1.97(e)(2). I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).

c. Attached is our Credit Card Payment Form (PTO-2038) in the amount of \$ \_\_\_\_\_ in payment of the fee under 37 C.F.R. § 1.17(p).

4. Filing under 37 C.F.R. § 1.97(d) This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Enclosed find our Credit Card Payment Form (PTO-2038) in the amount of \$ \_\_\_\_\_ in payment of the fee under 37 C.F.R. § 1.17(p); in addition:

a. Statement under 37 C.F.R. § 1.97(e)(1). I hereby state that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).

b. Statement under 37 C.F.R. § 1.97(e)(2). I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign

application and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).

- 5. The document(s) was/were cited in a search report by a foreign patent office in a counterpart foreign application. Submission of an English language version of the search report that indicates the degree of relevance found by the foreign office is provided in satisfaction of the requirement for a concise explanation of relevance.  
1138 OG 37, 38.
- 6. A concise explanation of the relevance of the non-English language documents appears below:

Document AB3 (JP 11-225272 A) appears to describe an image reader. An English-language abstract of document AB3 is enclosed as document AH13.

Document AC3 (JP 11-289421 A) appears to describe an image reader. An English-language abstract of document AC3 is enclosed as document AJ13.

Document AB5 (WO 98/09246 A1) appears to describe a method and device for recognizing non-unrolled fingerprints. The cover page of document AB5 contains an English-language abstract.

Document AA6 (WO 99/40535 A1) appears to describe a method and arrangement for obtaining image information relating to surface structures. The cover page of document AA6 contains an English-language abstract.

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7. Copies of the documents are submitted herewith.

8. Copies of the documents were cited by or submitted to the Office in an IDS that complies with 37 C.F.R. § 1.98(a)-(c) in Application No. \_\_\_\_\_, filed \_\_\_\_\_, which is relied upon for an earlier filing date under 35 U.S.C. § 120. Thus, copies of these documents are not attached. 37 C.F.R. § 1.98(d).

9. No copies of U.S. patents and patent application publications cited on the attached Form PTO-1449 are submitted in accordance with 1276 OG 55 because this application was filed after June 30, 2003.

10. It is expected that the examiner will review the prosecution and cited art in the parent application no(s). \_\_\_\_\_ in accordance with MPEP 2001.06(b), and indicate in the next communication from the office that the art cited in the earlier prosecution history has been reviewed in connection with the present application.

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449, and indicate in the official file wrapper of this patent application that the documents have been considered.

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The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

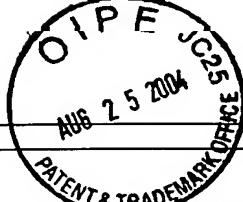
STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

  
Michael V. Messinger  
Attorney for Applicants  
Registration No. 37,575

Date: 8/25/2004

1100 New York Avenue, N.W.  
Washington, D.C. 20005-3934  
(202) 371-2600

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FORM PTO-1449 <b>SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT</b>		ATTY. DOCKET NO. 1823.0340001	APPLICATION NO. 10/020,498
		INVENTORS Scott et al.	
		FILING DATE December 18, 2001	ART UNIT 2625

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL	DOC. REF.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AA1	0 101 772 A1	03/1984	EP			N/A
	AB1	0 308 162 A2 & A3	03/1989	EP			N/A
	AC1	0 379 333 A1	07/1990	EP			N/A
	AD1	0 379 333 B1	07/1995	EP			N/A

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		OTHER (Including Author, Title, Date, Pertinent Pages, etc.)
	AE1	Btt (Biometric Technology Today), Finger technologies contacts, 2 pages.
	AF1	Drake, M.D. et al., "Waveguide hologram fingerprint entry device," <i>Optical Engineering</i> , Vol. 35, No. 9, September 1996, pp. 2499-2505.
	AG1	<i>Automated Identification Systems</i> (visited May 20, 1999) < <a href="http://www.trw.com/idsystems/bldgaccess2.html">http://www.trw.com/idsystems/bldgaccess2.html</a> >, 1 page, Copyright 1999.
	AH1	<i>Ultra-Scan Corporation Home Page</i> (visited May 20, 1999) < <a href="http://www.ultra-scan.com/index.htm">http://www.ultra-scan.com/index.htm</a> >, 3 pages. (discusses technology as early as 1996)
	AI1	<i>Profile</i> (last updated August 16, 1998) < <a href="http://www.dermalog.de/Britain/Profile/profile.htm">http://www.dermalog.de/Britain/Profile/profile.htm</a> >, 3 pages. (discusses technology as early as 1990)
	AJ1	<i>ID-Card System Technical Specifications</i> (last updated July 18, 1998) < <a href="http://dermalog.de/Britain/Products/ID-Card/idcard2.htm">http://dermalog.de/Britain/Products/ID-Card/idcard2.htm</a> >, 2 pages.
	AK1	<i>Fujitsu Limited Products and Services</i> (updated April 21, 1999) < <a href="http://www.fujitsu.co.jp/hypertext/Products/index-e.html">http://www.fujitsu.co.jp/hypertext/Products/index-e.html</a> >, 3 pages, Copyright 1995-1999.
	AL1	<i>SonyDCam</i> (visited May 20, 1999) < <a href="http://www.microsoft.com/DDK/ddkdocs/Win2k/sonydcam.htm">http://www.microsoft.com/DDK/ddkdocs/Win2k/sonydcam.htm</a> >, 3 pages, Copyright 1999.
	AM1	<i>Verid Fingerprint Verification</i> (visited May 17, 1999) < <a href="http://www.tssi.co.uk/products/finger.html">http://www.tssi.co.uk/products/finger.html</a> >, 2 pages.
EXAMINER		DATE CONSIDERED

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.



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**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL	DOC. REF.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AA2	0 623 890 A2 & A3	11/1994	EP			N/A
	AB2	0 623 890 B1	08/2001	EP			N/A
	AC2	0 653 882 A1	05/1995	EP			N/A
	AD2	0 905 646 A1	03/1999	EP			N/A

**OTHER (Including Author, Title, Date, Pertinent Pages, etc.)**

		<b>RECEIVED</b> AUG 27 2004
	AE2	<i>Startek's Fingerprint Verification Products: Fingerguard FG-40</i> (visited May 18, 1999) < <a href="http://www.startek.com.tw/product/fg40/fg40.html">http://www.startek.com.tw/product/fg40/fg40.html</a> >, 3 pages.
	AF2	<i>SAC Technologies Showcases Stand-Alone SAC-Remote(TM)</i> (visited May 18, 1999) < <a href="http://www.pathfinder.com/money/latest/press/PW/1998Mar25/1026.html">http://www.pathfinder.com/money/latest/press/PW/1998Mar25/1026.html</a> >, 2 pages.
	AG2	<i>"Biometrics, The Future Is Now," www.securitymagazine.com</i> , May 1999, pp. 25-26.
	AH2	<i>Mytec Technologies Gateway</i> , (visited April 27, 1999) < <a href="http://www.mytec.com/Products/gateway/">http://www.mytec.com/Products/gateway/</a> >, 1 page.
	AI2	<i>Mytec Technologies Gateway: Features &amp; Benefits</i> , (visited April 27, 1999) < <a href="http://www.mytec.com/Products/gateway/features.htm">http://www.mytec.com/Products/gateway/features.htm</a> >, 1 page.
	AJ2	<i>Mytec Technologies Touchstone Pro</i> , (visited April 27, 1999) < <a href="http://www.mytec.com/Products/Touchstone/">http://www.mytec.com/Products/Touchstone/</a> >, 1 page.
	AK2	<i>Mytec Technologies Touchstone Pro: Features</i> , (visited April 27, 1999) < <a href="http://www.mytec.com/Products/Touchstone/features.htm">http://www.mytec.com/Products/Touchstone/features.htm</a> >, 1 page.
	AL2	<i>Electronic Timeclock Systems and Biometric Readers</i> (last updated April 17, 1999) < <a href="http://www.lfs-hr-bene.com/tclocks.html">http://www.lfs-hr-bene.com/tclocks.html</a> >, 1 page.
	AM2	<i>Fingerprint Time Clock</i> (visited May 17, 1999) < <a href="http://www.lfs-hr-bene.com/Biometrics/Fingerprintclock.html">http://www.lfs-hr-bene.com/Biometrics/Fingerprintclock.html</a> >, 6 pages.

EXAMINER	DATE CONSIDERED
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## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOC. REF.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AA3	0 924 656 A2	06/1999	EP			N/A
	AB3	11-225272 A	08/1999	JP			No
	AC3	11-289421 A	10/1999	JP			AUG 27 2004
	AD3	WO 87/02491 A1	04/1987	PCT	Technology Center 2600		N/A

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OTHER (Including Author, Title, Date, Pertinent Pages, etc.)		
	AE3	KC-901: The KSI fingerprint sensor (visited May 17, 1999) < <a href="http://www.kinetic.bc.ca/kc-901.html">http://www.kinetic.bc.ca/kc-901.html</a> >, 3 pages.
	AF3	INTELNET INC. (visited May 20, 1999) < <a href="http://www.intelgate.com/index.html">http://www.intelgate.com/index.html</a> >, 1 page, Copyright 1996.
	AG3	Ver-i-Fus Fingerprint Access Control System (visited May 20, 1999) < <a href="http://www.intelgate.com/verifus.htm">http://www.intelgate.com/verifus.htm</a> >, 2 pages. (Ver-i-fus product released in 1995)
	AH3	Ver-i-fus® Configurations (visited May 20, 1999) < <a href="http://www.intelgate.com/verconfig.htm">http://www.intelgate.com/verconfig.htm</a> >, 1 page. (Ver-i-fus product released in 1995)
	AI3	Ver-i-Fus® & Ver-i-Fus™ (visited May 20, 1999) < <a href="http://www.intelgate.com/vif_data.htm">http://www.intelgate.com/vif_data.htm</a> >, 3 pages. (Ver-i-fus product released in 1995)
	AJ3	Access Control System Configurations (visited May 20, 1999) < <a href="http://www.intelgate.com/access.htm">http://www.intelgate.com/access.htm</a> >, 2 pages. (Ver-i-fus product released in 1995)
	AK3	Company (visited May 17, 1999) < <a href="http://www.instainfo.com.company.htm">http://www.instainfo.com.company.htm</a> >, 2 pages.
	AL3	TouchLock™ II Fingerprint Identity Verification Terminal (visited May 17, 1999) < <a href="http://www.identix.com/TLock.htm">http://www.identix.com/TLock.htm</a> >, 4 pages.
	AM3	Physical Security and Staff Tracking Solutions (visited May 17, 1999) < <a href="http://www.identix.com/products/biosecurity.html">http://www.identix.com/products/biosecurity.html</a> >, 3 pages, Copyright 1996-1998.

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INVENTORS

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## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOC. REF.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AA4	WO 90/03620 A1	04/1990	PCT			N/A
	AB4	WO 92/11608 A1	07/1992	PCT			N/A
	AC4	WO 94/22371 A2 & A3	10/1994	PCT	AUG 27 2004		N/A
	AD4	WO 97/29477 A1	08/1997	PCT	Technology Center 2600		N/A

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## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AE4	<i>Veriprint2000 Fingerprint Verification Terminal For Use With Jantek Time &amp; Attendance Software</i> (visited May 17, 1999) < <a href="http://www.hunterequipment.com/fingerprint.htm">http://www.hunterequipment.com/fingerprint.htm</a> >, 2 pages.
	AF4	<i>Veriprint Product Applications</i> (visited April 27, 1999) < <a href="http://www.biometricid.com/uses.htm">http://www.biometricid.com/uses.htm</a> >, 1 page, Copyright 1999.
	AG4	<i>BII Home Page</i> (visited April 27, 1999) < <a href="http://www.biometricid.com/homepage.htm">http://www.biometricid.com/homepage.htm</a> >, 1 page, Copyright 1999.
	AH4	<i>Veriprint 2100 Stand-Alone Fingerprint Verification Terminal</i> (visited April 27, 1999) < <a href="http://www.biometricid.com/veriprint2100.htm">http://www.biometricid.com/veriprint2100.htm</a> >, 3 pages.
	AI4	Randall, N., "A Serial Bus on Speed," <i>PC Magazine</i> , May 25, 1999, pp. 201-203.
	AJ4	<i>The DERMALOG Check-ID</i> (visited November 12, 1999) < <a href="http://www.dermalog.de/ganzneu/products_check.html">http://www.dermalog.de/ganzneu/products_check.html</a> >, 1 page.
	AK4	<i>Check-ID Specifications and Features</i> (visited November 12, 1999) < <a href="http://www.dermalog.de/ganzneu/spec_check.html">http://www.dermalog.de/ganzneu/spec_check.html</a> >, 1 page, Copyright 1999.
	AL4	<i>Startek's Fingerprint Verification Products: FingerFile 1050</i> (visited October 8, 1999) < <a href="http://www.startek.com.tw/product/ff1050/ff1050.html">http://www.startek.com.tw/product/ff1050/ff1050.html</a> >, 3 pages.
	AM4	<i>Time is Money!</i> (visited June 5, 1998) < <a href="http://www.iaus.com/afim.htm">http://www.iaus.com/afim.htm</a> >, 3 pages.

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EXAMINER INITIAL	DOC. REF.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AA5	WO 97/41528 A1	11/1997	PCT			N/A
	AB5	WO 98/09246 A1	03/1998	PCT			No
	AC5	WO 98/12670 A1	03/1998	PCT			AUG 27 2004
	AD5	WO 99/26187 A1	05/1999	PCT		Technology Center 2600	N/A

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## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AE5	Welcome to the Homepage of Heimann Biometric Systems GMBH (visited June 4, 1998) < <a href="http://www.hbs-jena.com/">http://www.hbs-jena.com/</a> >, 1 page, Copyright 1998.
	AF5	Heimann Biometric Systems Corporate Overview (visited June 4, 1998) < <a href="http://www.hbs-jena.com/company.htm">http://www.hbs-jena.com/company.htm</a> >, 4 pages, Copyright 1998.
	AG5	Remote Access Positive IDentification - raPID (visited June 3, 1998) < <a href="http://www.nec.com/cgi-bin/showproduct.exe?pro...emote+Access+Positive+IDentification+%2D+raPID">http://www.nec.com/cgi-bin/showproduct.exe?pro...emote+Access+Positive+IDentification+%2D+raPID</a> >, 2 pages, Copyright 1997.
	AH5	Morpho DigiScan Cellular (visited June 3, 1998) < <a href="http://www.morpho.com/products/law_enforcement/digiscan/cellular.htm">http://www.morpho.com/products/law_enforcement/digiscan/cellular.htm</a> >, 2 pages, Copyright 1998.
	AI5	A.F.I.S. (last updated April 2, 1998) < <a href="http://www.dermalog.de/afis.htm">http://www.dermalog.de/afis.htm</a> >, 2 pages.
	AJ5	Morpho FlexScan Workstation (visited June 3, 1998) < <a href="http://www.morpho.com/products/law_enforcement/flexscan/">http://www.morpho.com/products/law_enforcement/flexscan/</a> >, 2 pages, Copyright 1998.
	AK5	True-ID® The LiveScan with special "ability"..., 2 pages.
	AL5	Printrak International: User List (visited June 3, 1998) < <a href="http://www.printrakinternational.com">http://www.printrakinternational.com</a> and links>, 10 pages, Copyright 1996.
	AM5	Live-Scan Products: Tenprinter® 1133S (visited April 23, 1999) < <a href="http://www.digitalbiometrics.com/Products/tenprinter.htm">http://www.digitalbiometrics.com/Products/tenprinter.htm</a> >, 4 pages. (Tenprinter 1133S released in 1996)

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	AA6	WO 99/40535 A1	08/1999	PCT			No
	AB6						Yes No
	AC6						Yes No
	AD6						Yes No

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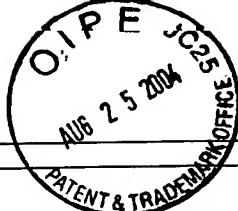
Technology Center 2600

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)		
	AE6	TouchPrint™ 600 Live-Scan System (visited November 17, 1999) <http://www.identix.com/products/livescan.htm>, 4 pages, Copyright 1996-1998.
	AF6	Systems for Live-Scan Fingerprinting, Digital Biometrics, Inc., 8 pages, Copyright 1998.
	AG6	DBI FingerPrinter CMS, Digital Biometrics, Inc., 5 pages. (CMS released in 1998)
	AH6	Fingerscan V20, Identix Incorporated, 1 page, Copyright 1999.
	AI6	Verid Fingerprint Reader, TSSI, 4 pages.
	AJ6	Response to Request for Information, Cross Match Technologies, Inc., 13 pages, April 14, 1999.
	AK6	Startek's Fingerprint Verification Products (visited November 17, 1999) <http://www.startek.com.tw/product/index.html>, 1 page.
	AL6	Introduction to Startek's Fingerprint Verification Products (visited November 17, 1999) <http://www.startek.com.tw/product/index2.html>, 2 pages.
	AM6	Automatic Fingerprint Identification Systems (visited November 17, 1999) <http://www.sagem.com/en/produit4-en/empreinte-dig-en.htm>, 1 page.

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	AA7						Yes No
	AB7						Yes No
	AC7						Yes No
	AD7						Yes No

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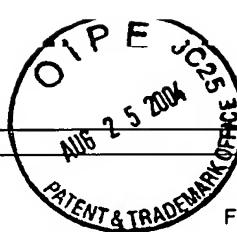
Technology Center 2600

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AE7	<i>Digital Biometrics Corporate Information</i> (visited November 17, 1999) < <a href="http://www.digitalbiometrics.com/Corporate_info/Corporate_info.htm">http://www.digitalbiometrics.com/Corporate_info/Corporate_info.htm</a> >, 2 pages. (discusses technology as early as 1985)
	AF7	<i>DBI Live-Scan Products: Digital Biometrics TENPRINTER</i> (visited November 17, 1999) < <a href="http://www.digitalbiometrics.com/products/tenprinter.htm">http://www.digitalbiometrics.com/products/tenprinter.htm</a> >, 4 pages. (Tenprinter released in 1996)
	AG7	<i>DBI Live-Scan Products: NETWORKING OPTIONS</i> (visited November 17, 1999) < <a href="http://www.digitalbiometrics.com/products/networking_options.htm">http://www.digitalbiometrics.com/products/networking_options.htm</a> >, 3 pages.
	AH7	<i>DBI Live-Scan Products: Digital Biometrics FingerPrinter CMS</i> (visited November 17, 1999) < <a href="http://www.digitalbiometrics.com/products/FingerPrinterCMS.htm">http://www.digitalbiometrics.com/products/FingerPrinterCMS.htm</a> >, 3 pages. (CMS released in 1998)
	AI7	<i>DBI Live-Scan Products: Image Printer Stations</i> (visited November 17, 1999) < <a href="http://www.digitalbiometrics.com/products/imageprinter.htm">http://www.digitalbiometrics.com/products/imageprinter.htm</a> >, 2 pages.
	AJ7	<i>DBI Live-Scan Products: FC-21 Fingerprint Capture Station</i> (visited November 17, 1999) < <a href="http://www.digitalbiometrics.com/products/Fingerprintcapture.htm">http://www.digitalbiometrics.com/products/Fingerprintcapture.htm</a> >, 2 pages.
	AK7	<i>Series 400 OEM Scanner</i> (visited November 17, 1999) < <a href="http://www.ultra-scan.com/400.htm">http://www.ultra-scan.com/400.htm</a> >, 3 pages. (Scanner released in 1996)
	AL7	<i>USC Scanner Design</i> (visited November 17, 1999) < <a href="http://www.ultra-scan.com/scanner.htm">http://www.ultra-scan.com/scanner.htm</a> >, 4 pages. (Scanner released in 1996)
	AM7	<i>Series 500/600 Scanners</i> (visited November 17, 1999) < <a href="http://www.ultra-scan.com/500.htm">http://www.ultra-scan.com/500.htm</a> >, 3 pages. (Scanner released in 1996)

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FORM PTO-1449

SUPPLEMENTAL INFORMATION DISCLOSURE  
STATEMENT

ATTY. DOCKET NO.  
1823.0340001APPLICATION NO.  
10/020,498INVENTORS  
Scott et al.FILING DATE  
December 18, 2001ART UNIT  
2625

## FOREIGN PATENT DOCUMENTS

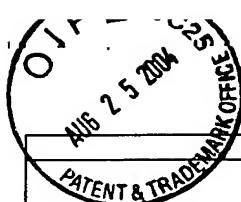
EXAMINER INITIAL	DOC. REF.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AA8				RECEIVED		Yes No
	AB8				AUG 27 2004		Yes No
	AC8						Yes No
	AD8				Technology Center 2600		Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AE8	Series 700 ID Station (visited November 17, 1999) < <a href="http://www.ultra-scan.com/700.htm">http://www.ultra-scan.com/700.htm</a> >, 3 pages. (Scanner released in 1998)
	AF8	<i>Identix: The Corporation</i> (visited November 17, 1999) < <a href="http://www.identix.com/corporate/home.htm">http://www.identix.com/corporate/home.htm</a> >, 2 pages, Copyright 1996-1998.
	AG8	<i>Biometric Imaging Products</i> (visited November 17, 1999) < <a href="http://www.identix.com/products/bioimage.htm">http://www.identix.com/products/bioimage.htm</a> >, 1 page, Copyright 1996-1998.
	AH8	<i>TouchPrint™ 600 Live-Scan System</i> (visited April 23, 1999) < <a href="http://www.identix.com/products/livescan.htm">http://www.identix.com/products/livescan.htm</a> >, 4 pages, Copyright 1996-1998.
	AI8	<i>TouchPrint™ 600 Card Scan System</i> (visited November 17, 1999) < <a href="http://www.identix.com/products/cardscan.htm">http://www.identix.com/products/cardscan.htm</a> >, 3 pages, Copyright 1996-1998.
	AJ8	<i>DERMALOG KEY - The safest and easiest way of access control</i> (Last updated July 18, 1998) < <a href="http://www.dermalog.de/Britain/Products/Key/key.htm">http://www.dermalog.de/Britain/Products/Key/key.htm</a> >, 1 page.
	AK8	<i>DERMALOG FINGER-ID Your small size solution for high security</i> (Last updated July 18, 1998) < <a href="http://www.dermalog.de/Britain/Products/Finger/fingerid.htm">http://www.dermalog.de/Britain/Products/Finger/fingerid.htm</a> >, 1 page.
	AL8	<i>Mytec: Corporate</i> (visited November 17, 1999) < <a href="http://www.mytec.com/corporate/">http://www.mytec.com/corporate/</a> >, 2 pages.
	AM8	<i>Kinetic Sciences Inc. Fingerprint Biometrics Division</i> (visited November 17, 1999) < <a href="http://www.kinetic.bc.ca/main-FPB.html">http://www.kinetic.bc.ca/main-FPB.html</a> >, 1 page.

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		INVENTORS Scott et al.	FILING DATE December 18, 2001

## FOREIGN PATENT DOCUMENTS

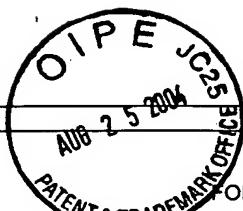
EXAMINER INITIAL	DOC. REF.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AA9				RECEIVED		Yes No
	AB9				AUG 27 2004		Yes No
	AC9						Yes No
	AD9				Technology Center 2600		Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AE9	<i>Fingerprint Biometrics: Securing The Next Generation</i> , May 19, 1999, (visited November 17, 1999) < <a href="http://www.secugen.com/pressrel.htm">http://www.secugen.com/pressrel.htm</a> >, 2 pages.
	AF9	<i>Secugen Unveils Fully Functional Fingerprint Recognition Solutions</i> , May 11, 1999, (visited November 17, 1999) < <a href="http://www.secugen.com/pressrel.htm">http://www.secugen.com/pressrel.htm</a> >, 3 pages.
	AG9	<i>POLLEX Technology Ltd., The Expert in Fingerprint Identification - POLLog</i> (visited November 17, 1999) < <a href="http://www.pollex.ch/english/products/pollog.htm">http://www.pollex.ch/english/products/pollog.htm</a> >, 2 pages.
	AH9	<i>Sony Fingerprint Identification Terminal</i> (visited November 17, 1999) < <a href="http://www.iosoftware.com/biosols/sony/fiu/applications/fit100.htm">http://www.iosoftware.com/biosols/sony/fiu/applications/fit100.htm</a> >, 2 pages.
	AI9	<i>Sony Fingerprint Identification Unit (FIU-700)</i> (visited November 17, 1999) < <a href="http://www.iosoftware.com/biosols/sony/fiu70/index.htm">http://www.iosoftware.com/biosols/sony/fiu70/index.htm</a> >, 2 pages. (Unit available late 1999)
	AJ9	<i>Sony Fingerprint Identification Unit</i> (visited November 17, 1999) < <a href="http://www.iosoftware.com/biosols/sony/fiu/index.htm">http://www.iosoftware.com/biosols/sony/fiu/index.htm</a> >, 3 pages.
	AK9	<i>Fujitsu Fingerprint Recognition Device (FPI-550)</i> (visited November 17, 1999) < <a href="http://www.iosoftware.com/biosols/fujitsu/fpi550.htm">http://www.iosoftware.com/biosols/fujitsu/fpi550.htm</a> >, 2 pages.
	AL9	<i>Mitsubishi MyPass LP-1002</i> (visited November 17, 1999) < <a href="http://www.iosoftware.com/biosols/mitsubishi/mypass.htm">http://www.iosoftware.com/biosols/mitsubishi/mypass.htm</a> >, 2 pages.
	AM9	<i>SecureTouch PV - A Personal "Password Vault"</i> (visited November 17, 1999) < <a href="http://www.biometricaccess.com/securetouch_pv.htm">http://www.biometricaccess.com/securetouch_pv.htm</a> >, 1 page.

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		INVENTORS Scott et al.	
		FILING DATE December 18, 2001	ART UNIT 2625

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOC. REF.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AA10						Yes No
	AB10						Yes No
	AC10						Yes No
	AD10						Yes No

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## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AE10	Digital Descriptor Systems, Inc.-Profile (visited November 17, 1999) < <a href="http://www.ddsicpc.com/pages/profile.html">http://www.ddsicpc.com/pages/profile.html</a> >, 3 pages.
	AF10	Press Release: Printrak International Announces New Portable Fingerprint ID Solution, December 10, 1996, (visited November 17, 1999) < <a href="http://www.scott.net/~dg/25.htm">http://www.scott.net/~dg/25.htm</a> >, 3 pages.
	AG10	Corporate Profile (visited November 17, 1999) < <a href="http://www.printraktinternational.com/corporate.htm">http://www.printraktinternational.com/corporate.htm</a> >, 1 page.
	AH10	Printrak Products (visited November 17, 1999) < <a href="http://www.printraktinternational.com/Products.htm">http://www.printraktinternational.com/Products.htm</a> >, 1 page. (Discusses technology as early as 1974)
	AI10	Verifier™ 200 Fingerprint Capture Devices, Cross Match Technologies, Inc., 2 pages, 1996-1997.
	AJ10	Verifier 200 Direct Fingerprint Reader, Cross Check Corporation, 2 pages, 1996-1997.
	AK10	Verifier™ 250 Fingerprint Capture Devices, Cross Match Technologies, Inc., 2 pages, 1996-1997.
	AL10	Verifier 250 Small Footprint Direct Fingerprint Reader, Cross Check Corporation, 2 pages, 1996-1997.
	AM10	Verifier™ 290 Fingerprint Capture Devices, Cross Match Technologies, Inc., 2 pages, 1996-1997.

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		INVENTORS Scott et al.	
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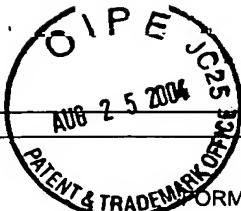
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	AA11				RECEIVED		Yes No
	AB11				AUG 27 2004		Yes No
	AC11						Yes No
	AD11				Technology Center 2000		Yes No

**OTHER (Including Author, Title, Date, Pertinent Pages, etc.)**

	AE11	Verifier 290 Direct Rolled Fingerprint Reader, Cross Check Corporation, 2 pages, 1996-1997.
	AF11	Verifier™ 500 Fingerprint Capture Devices, Cross Match Technologies, Inc., 2 pages, 1998.
	AG11	Biometric terminal, 1 page.
	AH11	10-Print Imaging System, Cross Check Corporation, 2 pages, 1998.
	AI11	<i>Cross Match Technologies, Inc.</i> (visited March 25, 1999) < <a href="http://www.crossmatch.net">http://www.crossmatch.net</a> >, 1 page.
	AJ11	<i>Cross Match Technologies, Inc. - Products Overview</i> (visited March 25, 1999) < <a href="http://www.crossmatch.net/new/products/product-index.html">http://www.crossmatch.net/new/products/product-index.html</a> >, 1 page.
	AK11	<i>Cross Match Technologies, Inc. - Law Enforcement Systems</i> (visited March 25, 1999) < <a href="http://www.crossmatch.net/new/law/law-index.html">http://www.crossmatch.net/new/law/law-index.html</a> >, 2 pages.
	AL11	<i>Cross Match Technologies, Inc. - Commercial Systems: Building On The Standard</i> (visited March 25, 1999) < <a href="http://www.crossmatch.net/new/commercial/commercial-index.html">http://www.crossmatch.net/new/commercial/commercial-index.html</a> >, 2 pages.
	AM11	<i>Cross Match Technologies, Inc. - International Sales</i> (visited March 25, 1999) < <a href="http://www.crossmatch.net/new/sales/sales-index.html">http://www.crossmatch.net/new/sales/sales-index.html</a> >, 1 page.

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**FOREIGN PATENT DOCUMENTS**

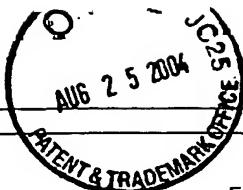
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	AA12						RECEIVED Yes No
	AB12						Yes No
	AC12						Yes No
	AD12						Technology Center 2600 Yes No

**OTHER (Including Author, Title, Date, Pertinent Pages, etc.)**

	AE12	Cross Match Technologies, Inc. - Support (visited March 25, 1999) < <a href="http://www.crossmatch.net/new/support/support-index.html">http://www.crossmatch.net/new/support/support-index.html</a> >, 1 page.
	AF12	Cross Match Technologies, Inc. - News - Press Releases - Verifier 400 Press Release (visited March 25, 1999) < <a href="http://www.crossmatch.net/new/news/news-pr-050798.html">http://www.crossmatch.net/new/news/news-pr-050798.html</a> >, 1 page.
	AG12	Global Security Fingerscan™ System Overview (visited January 11, 2000) < <a href="http://wwwu-net.com/mbp/sol/g/a9.htm">http://wwwu-net.com/mbp/sol/g/a9.htm</a> >, 12 pages.
	AH12	"Command Structure for a Low-Cost (Primitive) Film Scanner," IBM Technical Disclosure Bulletin, IBM Corp., Vol. 35, No. 7, December 1992, pp. 113-121.
	AI12	Fingerprint Scan API Toolkit Version 1.x Feature List (April 26, 2000) < <a href="http://www.mentalix.com/api/archive_fapiv1.htm">http://www.mentalix.com/api/archive_fapiv1.htm</a> >, 3 pages.
	AJ12	Kunzman, Adam J. and Wetzel, Alan T., "1394 High Performance Serial Bus: The Digital Interface for AT," IEEE Transaction on Consumer Electronics, IEEE, Vol. 41, No. 3, August 1995, pp. 893-900.
	AK12	Mentalix Provides The First IAFIS-Certified Latent Print Scanning Solution For Windows® (July 23, 1999) < <a href="http://www.mentalix.com/pressreleases/fprintplook3_prel.htm">http://www.mentalix.com/pressreleases/fprintplook3_prel.htm</a> >, 2 pages.
	AL12	Sluijs, F. et al., "An On-chip USB-powered Three-Phase Up/down DC/DC Converter in a Standard 3.3V CMOS Process," 2000 IEEE International Solid-State Circuits Conference, IEEE, February 9, 2000, pp. 440-441.
	AM12	Venot, A. et al., "Automated Comparison of Scintigraphic Images," Journal of Nuclear Medicine, Vol. 27, No. 8, August 1986, pp. 1337-1342.

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		INVENTORS Scott et al.	FILING DATE December 18, 2001
		ART UNIT 2625	

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL	DOC. REF.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AA13						Yes No
	AB13						Yes No
	AC13						Yes No
	AD13						Yes No

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**OTHER (Including Author, Title, Date, Pertinent Pages, etc.)**

	AE13	English-language Abstract for Japanese Patent Publication No. 59-103474, published June 14, 1984, printed from espacenet.com, 1 page.
	AF13	English-language Abstract for Japanese Patent Publication No. 10-262071, published September 29, 1998, printed from espacenet.com, 1 page.
	AG13	English-language Abstract for Japanese Patent Publication No. 11-167630, published June 22, 1999, printed from espacenet.com, 1 page.
	AH13	English-language Abstract for Japanese Patent Publication No. 11-225272, published August 17, 1999, printed from espacenet.com, 1 page.
	AI13	English-language Abstract for Japanese Patent Publication No. 11-252489, published September 17, 1999, printed from espacenet.com, 1 page.
	AJ13	English-language Abstract for Japanese Patent Publication No. 11-289421, published October 19, 1999, printed from espacenet.com, 1 page.
	AK13	
	AL13	
	AM13	

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